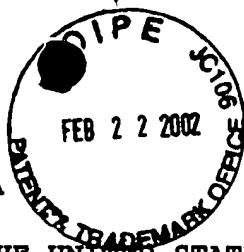


Docket No. 4644A



1754

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Kakwani et al.
Serial No. 09/816,912
Date Filed: March 23, 2001
Title: EXHAUST SYSTEM FOR ENHANCED REDUCTION OF NITROGEN OXIDES
AND PARTICULATES FROM DIESEL ENGINES
Art Unit: 1754
Examiner:

February 6, 2002

Commissioner for Patents
United States Patent and Trademark Office
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed.

(a) This is a supplemental Information Disclosure Statement under 37 C.F.R. 1.99; a previous Information Disclosure Statement was filed March 23, 2001.

(b) This supplemental Information Disclosure Statement is being submitted as the information was brought to the attention of applicant.

(c) The art was encountered in the course of the prosecution of the corresponding international patent application(s) under the Patent Cooperation Treaty No. US 01/24277 filed August 3, 2001.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, United States Patent and Trademark Office, Washington, DC 20231.

Date: February 6, 2002

Phyllis Nova

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(d) Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant reserves the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) X Copy of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- (c) Abstracts by recognized library services.

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) Within 3 months of the filing date or date of entry into the National Stage.
- (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070 for consideration of this Statement.
- (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) The required certification is given below, or
 - (2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070.

(d) _____ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) _____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2) _____ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 05-1070.

4. Certification (if applicable)

(a) _____ The undersigned hereby certifies that each item of information contained in a communication from a foreign patent office was cited by the foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) _____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is authorized to charge any fee which may be required for consideration of this Statement or credit any overpayment to Deposit Account No. 05-1070.

Respectfully Submitted,

By Richard A. Negin
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